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### Lecture 5: Effects, State Management

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# Announcements

Assignment 1: due July 3.

### Warning

That is a Sunday. But support from James and I may not be available (or be sparse) over the weekend. Plan accordingly!



It's Week 5. We're halfway through!

What have we accomplished?

- Mastered the rudiments of Haskell programming.
- Learned basic reasoning methods.
- Encountered useful algebraic structures.
- Dealt with: data transformations, algorithm implementation in mathematically structured programs.



It's Week 5. We're halfway through!

Where are we headed?

- Toward larger-scale mathematically structured system design.
- Previous focus: data structures.
- New focus: **control** structures.
- To reach the level of an adept Haskell programmer, you have to master:
- Control.Monad (monads)
- Control.Lens (lenses, folds, traversals)
- The remainder of this course will mostly be about **Control.Monad**.

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#### Effects

*Effects* are observable phenomena from the execution of a program.

## Example (Memory effects)

int \*p = ...
... // read and write
\*p = \*p + 1;

# Example (Non-termination) // infinite loop while (1) {}:

## Example (IO)

// console IO

c = getchar();
printf("%d",32);

## Example (Control flow)

// exception effect
throw new Exception();

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# Internal vs. External Effects

### **External Observability**

An *external* effect is an effect that is observable outside the function. *Internal* effects are not observable from outside.

#### Example (External effects)

Console, file and network I/O; termination and non-termination; non-local control flow (exceptions); etc.

Are memory effects *external* or *internal*? **Answer:** Depends on the scope of the memory being accessed. Global variable accesses are *external*.



A function with no external effects is called a *pure* function.

## **Pure functions**

A *pure function* is the mathematical notion of a function. That is, a function of type  $a \rightarrow b$  is *fully* specified by a mapping from all elements of the domain type a to the codomain type b.

Consequences:

- Two invocations with the same arguments result in the same value.
- No observable trace is left beyond the result of the function.
- No implicit notion of time or order of execution.



# The Danger of Side Effects

- They introduce (often subtle) requirements on the evaluation order.
- They are not visible from the type signature of the function.
- They introduce non-local dependencies which is bad for software design, increasing *coupling*.
- They interfere badly with strong typing, for example mutable arrays in Java, or reference types in ML.

We can't, in general, reason equationally about effectful programs!

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# **Problem: Equational Reasoning**

Equational reasoning *fails* in the presence of impure functions!

- Imagine we allowed functions with side effects in Haskell, for example, getInt :: Int, which prompts the user for an integer input, then returns whatever the user keyed in.
- Since x x = 0 is true for all integers, equational reasoning says that if x :: Int, then we can replace x x with 0 without changing the meaning of our program.
- But getInt :: Int, so we get getInt getInt == 0, which is nonsense (imagine what happens if I input two different integers).

# Monads as the Solution

Haskell faced a problem. You can't have both of these:

- Equational reasoning.
- Punctions with side effects.

## Monads

Monads are mathematical structures that were introduced by French mathematician **Roger Godement** in 1950. They come from *category theory*, a fairly abstract field of mathematics. In Oct 1992, **Simon Peyton Jones** and **Philip Wadler** presented a new model, based on monads, for performing input and output in pure functional languages such as Haskell. The Haskell community went on to apply monads to many system design problems in functional programming.

The next 3 lectures: building up to understand SPJ and PW's solution to the  $\rm I/O$  problem.

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# Scenario I

We will **not** introduce monads in this lecture. However, we will perform some system design tasks that hint at their existence.

## Getting stuff from a DB

Imagine we have a database full of employee records:

```
data Employee = Employee
```

- { idNumber :: ID
- , name :: String
- , supervisor :: Maybe ID
- } deriving (Show, Eq)

Each employee has a unique id number, a name, and possibly a supervisor.



We have a search field, where the user can type an ID. When the user presses the Search button, the system should output the record of the **supervisor** of the employee with the given ID (if any).



Output: The supervisor of employee #23 is ... Demo: live coding Maybe



#### **Demo: Labeling Nodes**

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Bind for State							

Typically, a computation involving some state of type s and returning a result of type a can be expressed as a function:

 $s \rightarrow (s, a)$ 

Rather than change the state, we return a new copy of the state.



# **State Implementation**

The Haskell standard library has a State type that is essentially implemented as the same state-passing we did before! But note that we had a type synonym, whereas they have a bona fide data type.

```
data State s a = State (s -> (s,a))
```

#### Caution

In the Haskell standard library mtl, the State type is actually implemented slightly differently, but the implementation essentially works the same way.

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#### **State Operations**

```
get :: State s s
put :: s -> State s ()
return :: a -> State s a -- our yield
evalState :: State s a -> s -> a
```

#### Bind

```
-- our bindS is declared infix
(>>=) :: State s a -> (a -> State s b) -> State s b
-- usage (implements the `use` fn):
get                       >>= \x ->
put (x+1) >>= \_ ->
return x
```

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# Higher Kinds

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## **Types and Values**

Haskell is actually comprised of two languages.

- The *value-level* language, consisting of expressions such as if, let, 3 etc.
- The *type-level* language, consisting of types Int, Bool, synonyms like String, and type *constructors* like Maybe, (->), [] etc.

This type level language itself has a type system!



Just as terms in the value level language are given types, terms in the type level language are given kinds.

The most basic kind is written as \*.

- Types such as Int and Bool have kind \*. These are called *nullary types*.
- Seeing as Maybe is parameterised by one argument, Maybe has kind \* -> \*: given a type (e.g. Int), it will return a type (Maybe Int). This makes Maybe a *unary type*.
- There are binary types etc. But there are also higher-kinded types such as (\* -> \*) -> \*. We won't deal with these for now.



```
Suppose we have a function:
toString :: Int -> String
And we also have a function to give us some numbers:
getNumbers :: Seed -> [Int]
How can I compose toString with getNumbers to get a function
f of type Seed -> [String]?
```

**Answer**: we use map:

```
f = map toString . getNumbers
```



Suppose we have a function:

toString :: Int -> String

And we also have a function that may give us a number:

tryNumber :: Seed -> Maybe Int

How can I compose toString with tryNumber to get a function f of type Seed -> Maybe String?

We want something like a map function but for the Maybe type:

f = maybeMap toString . tryNumber

Demo: maybeMap implementation

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## Functor

All of these functions are in the interface of a single type class, called Functor.

```
class Functor f where
  fmap :: (a -> b) -> f a -> f b
```

Unlike previous type classes we've seen like Ord and Semigroup, Functor is over types of kind \* -> \*.

Instances for:

- Lists
- Maybe
- Functions (how?)

**Demo: fmap for Functions** 

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Functor Laws

The functor type class must obey two laws:

Functor Laws
fmap id x == x
fmap f (fmap g x) == fmap (f . g) x

In Haskell's type system it's impossible to make a total fmap function that satisfies the first law but violates the second. But this is a surprisingly deep theorem, proved using something called *parametricity*.



- **O** Assignment 1: due on Sunday, 03 July 2022.
- 2 Last week's quiz is due 23:59 Thursday, 30 June 2022.
- Solution Last week's exercise is due 09:10 Thursday, 30 June 2022.
- This week's stuff is due after flex week.